

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

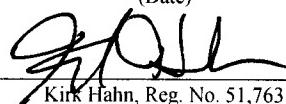
Applicant : Katsuyuki HAMADA, et al.  
Appl. No. : 10/576,047  
Filed : April 14, 2006  
For : CANCER GENE THERAPEUTIC DRUG  
Examiner : Kevin Kai Hill  
Art Unit No. : 1633

## CERTIFICATE OF EFS WEB TRANSMISSION

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April 9, 2008

(Date)



Kirk Hahn, Reg. No. 51,763

REQUEST FOR CORRECTED FILING RECEIPT

Office of Initial Patent Examination  
Customer Service Center  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicants noticed an omission on the Foreign Applications. As indicated in the Declaration signed by the inventors, the present application claims priority to the **Japanese Patent Application Number 2003-354983 filed October 15, 2003**. Please issue a corrected official filing receipt to reflect the true priority data. SAs this error appears to have been made at the U.S. Patent and Trademark Office, we believe no fees to be required. However, should any fees be necessary for this request, please charge them to my credit card.

Enclosed in support of this Request are the following:

- (X) A copy of the Declaration.
- (X) A copy of the Filing Receipt indicated the true Foreign Application data.

**Appl. No.** : 10/576,047  
**Filed** : April 14, 2006

Respectfully submitted,

Dated: April 8, 2008

5120621  
040708

By: 

Kirk Hahn  
Registration No. 51,763  
Agent of Record  
Customer No. 038,051  
(714) 544-2934

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## UNITED STATES PATENT AND TRADEMARK OFFICE

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[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
10/576,047	04/14/2006	1632	525	TSU-006	20	2

### CONFIRMATION NO. 8849

38051  
KIRK HAHN  
14431 HOLT AVE  
SANTA ANA, CA92705

### FILING RECEIPT

Date Mailed: 03/12/2007

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

Katsuyuki Hamada, Matsuyama-shi, JAPAN;  
Akinobu Goto, Kobe-shi, JAPAN;  
Toshiro Shirakawa, Kobe-shi, JAPAN;

#### Assignment For Published Patent Application

THE NEW INDUSTRY RESERCH ORGANIZATION, KOBE-SHI, JAPAN

Power of Attorney: The patent practitioners associated with Customer Number 038051

#### Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP04/15220 10/15/2003

#### Foreign Applications

JAPAN 2003 - 354 983 10/15/2003

Please add .

If Required, Foreign Filing License Granted: 02/27/2007

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/576,047**

Projected Publication Date: 06/07/2007

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

**COPY**

PTO/SB/81 (10-01)

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U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE  
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.**DECLARATION FOR UTILITY OR DESIGN****PATENT APPLICATION  
(37 CFR 1.63)** Declaration Submitted with Initial Filing

OR

 Declaration Submitted after Initial Filing  
(surcharge (37 CFR 1.16 (e)) required)

Attorney Docket Number	TSU-008
First Named Inventor	HAMADA, Katsuyuki
<b>COMPLETE IF KNOWN</b>	
Inter. Appl. Number	PCT/JP2004/015220
Inter. Filing Date	October 15, 2004
Art Unit	Unknown
Examiner Name	Unknown

As the below named inventor, I hereby declare that:

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original and first inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**CANCER GENE THERAPEUTIC DRUG**

the specification of which

 is attached hereto

OR

 was filed on MM/DD/YYYY as United States Application Number or PCT International Application Number XX/XXX,XXX and was amended on MM/DD/YYYY (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventors or plant breeders rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent inventors or plant breeders rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign filing date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached? YES	NO
2003-354983	Japan	10/15/2003	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

 Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto.

(Page 1 of 3)

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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**DECLARATION -- Utility Or Design Patent Application**

Direct all correspondence to  Customer Number or Bar Code Label **038051** or  Correspondence address below

Name

Address

City

State

ZIP

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**NAME OF SOLE OR FIRST INVENTOR:**
 A petition has been filed for this unsigned inventor
Given Name **Katsuyuki**  
(first and middle [if any])Family Name **HAMADA**  
or SurnameInventor's  
SignatureDate **8/9/06**Residence: City **Matsuyama-shi**State **Ehime**Country **JAPAN**Citizenship **JAPAN**Mailing Address **Room 406, Nishiishii Heights, 1-1-8, Nishiishii**City **Matsuyama-shi**State **Ehime**ZIP **7900931**Country **JAPAN****NAME OF SECOND INVENTOR:**
 A petition has been filed for this unsigned inventor
Given Name **Akinobu**  
(first and middle [if any])Family Name **GOTO**  
or SurnameInventor's  
SignatureDate **4/12/06**Residence: City **Kobe-shi**State **Hyogo**Country **JAPAN**Citizenship **JAPAN**Mailing Address **Heathcote Mikage Shironomae, 1430, Mikage Shironomae, Mikage-cho, Higashimatsuda-ku**City **Kobe-shi**State **Hyogo**ZIP **6580056**Country **JAPAN**

Additional inventors are being named on the **1** supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

[Page 2 of 3]

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<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b> Supplemental Sheet
Page ---3--- of ---3---	

<b>NAME OF ADDITIONAL JOINT INVENTOR:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name Toshiro (first and middle [if any])		Family Name SHIRAKAWA or Surname	
Inventor's Signature	Date 6 Apr 2006		
Residence City Kobe-shi	State Hyogo	Country JAPAN	
Mailing Address 2-8-5, Shinoharakitamachi, Nada-ku			
City Kobe-shi	State Hyogo	ZIP 6570068	Country JAPAN
<b>NAME OF ADDITIONAL JOINT INVENTOR:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature			Date
Residence City	State	Country	Citizenship
Mailing Address			
City	State	ZIP	Country
<b>NAME OF ADDITIONAL JOINT INVENTOR:</b>		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Inventor's Signature			Date
Residence City	State	Country	Citizenship
Mailing Address			
City	State	ZIP	Country

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.83. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

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PTO/SB/61 (02-01)

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**POWER OF ATTORNEY OR  
AUTHORIZATION OF AGENT**

International Application Number: PCT/JP2004/015220

International Filing Date: October 15, 2004

First Named Inventor: HAMADA, Katsuyuki

Title: CANCER GENE THERAPEUTIC DRUG

Group Art Unit: Unknown

Examiner Name: Unknown

Attorney Docket Number: TSU-008

I hereby appoint:

Practitioners at Customer Number **038051**

*OR*

Practitioner named below:

Name

KIRK HAHN

Registration Number

51,763

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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The above-mentioned Customer Number.

*OR*

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*OR*

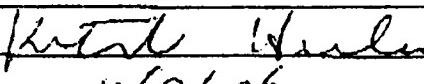
<input type="checkbox"/> Firm or Individual Name	Kirk Hahn				
Address	14431 Holt Avenue				
Address					
City	Santa Ana	State	California	Zip	92705
Country	United States of America				
Telephone	714-544-2934	Fax	714-544-2934		

I am the:

Applicant/Inventor

Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/ISB/96).

SIGNATURE of Applicant or Assignee of Record

Name	Katsuyuki HAMADA				
Signature					
Date	4/19/06				

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required.  
Submit multiple forms if more than one signature is required, see below.

Total of 3 are submitted.

**Burden Hour Statement:** This form is estimated to take 3 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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AUTHORIZATION OF AGENT

International Application Number: PCT/JP2004/015220  
International Filing Date: October 15, 2004  
First Named Inventor: HAMADA, Katayuki  
Title: CANCER GENE THERAPEUTIC DRUG  
Group Art Unit: Unknown  
Examiner Name: Unknown  
Attorney Docket Number: TSU-003

I hereby appoint:

- Practitioners at Customer Number **038051**  
**OR**  
 Practitioner named below:

Name  
**KIRK HAHN**

Registration Number  
**51,763**

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

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- Practitioners at Customer Number

**OR**

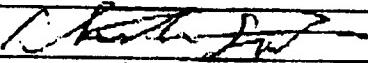
<input type="checkbox"/> Firm or Individual Name	<b>Kirk Hahn</b>		
Address	<b>14431 Holt Avenue</b>		
Address			
City	<b>Santa Ana</b>	State	<b>California</b>
Country	<b>United States of America</b>		
Telephone	<b>714-544-2934</b>	Fax	<b>714-544-2934</b>

I am the:

- Applicant/Inventor  
 Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/ISB/96).

SIGNATURE of Applicant or Assignee of Record

Name **Akinobu GOTO**

Signature 

Date **4/12/06**

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required.  
Submit multiple forms if more than one signature is required, see below.

Total of **3** are submitted.

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AUTHORIZATION OF AGENT**

International Application Number:	PCT/JP2004/015220
International Filing Date:	October 15, 2004
First Named Inventor:	HAMADA, Katsuyuki
Title:	CANCER GENE THERAPEUTIC DRUG
Group Art Unit:	Unknown
Examiner Name:	Unknown
Attorney Docket Number:	TSU-008

I hereby appoint:

Practitioners at Customer Number **038051**

*OR*

Practitioner named below:

Name

KIRK HAHN

Registration Number  
51,763

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The above-mentioned Customer Number.

*OR*

Practitioners at Customer Number

*OR*

Firm or Individual Name	Kirk Hahn				
Address	14431 Holt Avenue				
Address					
City	Santa Ana	State	California	Zip	92705
Country	United States of America				
Telephone	714-544-2934	Fax	714-544-2934		

I am the:

Applicant/Inventor

Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/ISB/96).

SIGNATURE of Applicant or Assignee of Record

Name **Tohiko SHIRAKAWA**

Signature 

Date **6 Apr 2006**

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required.  
Submit multiple forms if more than one signature is required, see below.

Total of **3** are submitted.

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